



## RESIDENTIAL UTILITY CONSUMER OFFICE

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1110 WEST WASHINGTON · SUITE 220 · PHOENIX, ARIZONA 85007 · PHONE: (602) 364-4835 · FAX: (602) 364-4846

Douglas A. Ducey  
Governor

David P. Tenney  
Director

August 23, 2016

The Honorable Douglas A. Ducey  
Governor  
1700 W. Washington  
Executive Tower  
Phoenix, Arizona 85007

Dear Governor Ducey:

Enclosed please find the operating budget request ("Budget Request") for Fiscal Year 2018 from the Residential Utility Consumer Office (RUCO). The proposed budget will be funded through an assessment of large utilities pursuant to A.R.S. § 40-401.01.

With this budgeted level of funding, RUCO will be able to continue its record of safeguarding the interests of residential utility ratepayers.

Sincerely,

A handwritten signature in black ink, appearing to read "David P. Tenney", is written over a horizontal line.

David P. Tenney  
Director



# State of Arizona Budget Request

State Agency

Residential Utility Consumer Office

A.R.S. Citation: **35-113**

<b>Appropriated Funds</b>	FY 2017 Expd. Plan	FY 2018 Fund. Issue	FY 2018 Total Budget
Total Amount Requested:	1,331.4	0.0	1,331.4
Residential Utility Consumer Office Revolving Fund	1,331.4	0.0	1,331.4

## Governor DUCEY:

This and the accompanying budget schedules, statements and explanatory information constitute the operating budget request for this agency for Fiscal Year 2018.

To the best of my knowledge all statements and explanations contained in the estimates submitted are true and correct.

Agency Head: **David P. Tenney**

Title: **Director**

(signature)

Phone: **(602) 364-4845**

Prepared By: **Cheryl Fraulob**

Email Address: **cfraulob@azruco.gov**

Date Prepared: **Wednesday, August 17, 2016**

## Revenue Schedule

**Agency:** UOA Residential Utility Consumer Office

**Fund:** 2175 Residential Utility Consumer Office Revolving Fund

<b>AFIS Code</b>	<b>Category of Receipt and Description</b>	<b>FY 2016</b>	<b>FY 2017</b>	<b>FY 2018</b>
4633	INTRASTATE UTILITY REVENUE ASSESSMENT	1,158.3	1,331.4	1,331.4
<b>Fund Total:</b>		1,158.3	1,331.4	1,331.4

## Revenue Schedule

<b>Agency:</b> UOA Residential Utility Consumer Office
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<b>Fund:</b> 2175 Residential Utility Consumer Office Revolving Fund
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**Justification:**

Pursuant to Arizona Revised Statute (A.R.S.) Section 40-401.01, funding of RUCO is accomplished through an assessment made annually by the Commission. Each utility with annual residential revenues in excess of \$250,000, except those not required to hold Certificates of Convenience and Necessity is assessed.

The disposition of assessment proceeds is governed by A.R.S. Section 40-409. All monies received by the Commission under provisions of A.R.S. Section 40-401.01 are paid to the State Treasurer and placed in the RUCO Revolving Fund. Monies in the fund are used, subject to legislative appropriation, to operate RUCO pursuant to A.R.S. Section 40-461. Appropriated funds not spent by the end of the fiscal year do not revert to the general fund. They revert to the RUCO Revolving Fund and are used to calculate the ratepayer assessment for the next fiscal year.

## Sources and Uses of Funds

<b>Agency:</b>	<b>UOA Residential Utility Consumer Office</b>
<b>Fund:</b>	<b>2175 Residential Utility Consumer Office Revolving Fund</b>

<b>Cash Flow Summary</b>	<b>Actual FY 2016</b>	<b>Estimate FY 2017</b>	<b>Estimate FY 2018</b>
Balance Forward from Prior Year	571.3	504.4	356.5
Revenue (From Revenue Schedule)	1,158.3	1,331.4	1,331.4
Total Available	1,729.6	1,835.8	1,687.9
Total Appropriated Disbursements	1,225.2	1,479.3	1,331.4
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	504.4	356.5	356.5

<b>Appropriated Expenditure</b>	<b>Actual FY 2016</b>	<b>Estimate FY 2017</b>	<b>Estimate FY 2018</b>
<b>Expenditure Categories</b>			
Personal Services	634.6	757.7	757.7
Employee Related Expenses	232.9	241.9	241.9
Prof. And Outside Services	85.4	147.4	147.4
Travel - In State	6.3	8.6	8.6
Travel - Out of State	9.7	7.0	7.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	178.6	168.8	168.8
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>1,147.5</b>	<b>1,331.4</b>	<b>1,331.4</b>
Non-Lapsing Authority from Prior Years	73.4	145.0	0.0
Administrative Adjustments	4.3	2.9	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	<b>1,225.2</b>	<b>1,479.3</b>	<b>1,331.4</b>
<b>Appropriated FTE:</b>	<b>8.0</b>	<b>8.0</b>	<b>8.0</b>

### Fund Justification

#### Justification:

### Fund Description

Source: Pursuant to Arizona Revised Statute (A.R.S.) Section 40-401.01, funding of RUCO is accomplished through an assessment made annually by the Commission. Each utility with annual residential revenues in excess of \$250,000, except those not required to hold Certificates of Convenience and Necessity is assessed.

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Use: Monies in the fund are used to operate RUCO pursuant to A.R.S. Section 40-461.

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OSP: This fund consists of annual residential consumer assessments against each qualifying public service corporation. The fund is used to pay for the operation of the Residential Utility Consumer Office.

## Summary of Expenditure and Budget Request for All Funds

**Agency:** UOA Residential Utility Consumer Office

**Appropriated**

		FY 2016 Actual	FY 2017 Expd. Plan	FY 2018 Fund. Issue	FY 2018 Total
Cost Center/Program:					
1	Ratepayer Representation	1,147.5	1,331.4	0.0	1,331.4
		<u>1,147.5</u>	<u>1,331.4</u>	<u>0.0</u>	<u>1,331.4</u>
<b>Expenditure Categories</b>					
	FTE	8.0	8.0	0.0	8.0
	Personal Services	634.6	757.7	0.0	757.7
	Employee Related Expenses	232.9	241.9	0.0	241.9
	Professional and Outside Services	85.4	147.4	0.0	147.4
	Travel In-State	6.3	8.6	0.0	8.6
	Travel Out of State	9.7	7.0	0.0	7.0
	Food (Library for Universities)	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	178.6	168.8	0.0	168.8
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		<u>1,147.5</u>	<u>1,331.4</u>	<u>0.0</u>	<u>1,331.4</u>

# Summary of Expenditure and Budget Request for All Funds

Agency: UOA Residential Utility Consumer Office

Agency Total for All Funds:	1,147.5	1,331.4	0.0	1,331.4			
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## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	UOA	Residential Utility Consumer Office
<b>Fund:</b>	2175	Residential Utility Consumer Office Revolving (Appropriated)

	FY 2016 Actual	FY 2017 Expd. Plan	FY 2018 Fund. Issue	FY 2018 Total
Cost Center/Program:				
1     Ratepayer Representation	1,147.5	1,331.4	0.0	1,331.4
	1,147.5	1,331.4	0.0	1,331.4
<b>Expenditure Categories</b>				
FTE	8.0	8.0	0.0	8.0
Personal Services	634.6	757.7	0.0	757.7
Employee Related Expenses	232.9	241.9	0.0	241.9
Professional and Outside Services	85.4	147.4	0.0	147.4
Travel In-State	6.3	8.6	0.0	8.6
Travel Out of State	9.7	7.0	0.0	7.0
Food (Library for Universities)	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	178.6	168.8	0.0	168.8
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	1,147.5	1,331.4	0.0	1,331.4
<b>Fund Total:</b>	1,147.5	1,331.4	0.0	1,331.4

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	UOA	Residential Utility Consumer Office
<b>Fund:</b>	2175	Residential Utility Consumer Office Revolving (Appropriated)

	FY 2016 Actual	FY 2017 Expd. Plan	FY 2018 Fund. Issue	FY 2018 Total
<b>Agency Total for Selected Funds</b>	1,147.5	1,331.4	0.0	1,331.4

## Program Summary of Expenditures and Budget Request

<b>Agency:</b>	UOA	Residential Utility Consumer Office
<b>Program:</b>	1	Ratepayer Representation

	FY 2016 Actual	FY 2017 Expd. Plan	FY 2018 Fund. Issue	FY 2018 Total
<b>Program Summary</b>				
1-1	1,062.1	1,186.4	0.0	1,186.4
1-2	85.4	145.0	0.0	145.0
<b>Program Summary Total:</b>				
	1,147.5	1,331.4	0.0	1,331.4
<b>Expenditure Categories</b>				
0000	8.0	8.0	0.0	8.0
6000	634.6	757.7	0.0	757.7
6100	232.9	241.9	0.0	241.9
6200	85.4	147.4	0.0	147.4
6500	6.3	8.6	0.0	8.6
6600	9.7	7.0	0.0	7.0
6700	0.0	0.0	0.0	0.0
6800	0.0	0.0	0.0	0.0
7000	178.6	168.8	0.0	168.8
8000	0.0	0.0	0.0	0.0
8100	0.0	0.0	0.0	0.0
8600	0.0	0.0	0.0	0.0
9000	0.0	0.0	0.0	0.0
9100	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>				
	1,147.5	1,331.4	0.0	1,331.4
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
2175-A	1,147.5	1,331.4	0.0	1,331.4
<b>Fund Source Total:</b>				
	1,147.5	1,331.4	0.0	1,331.4

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	UOA	Residential Utility Consumer Office
<b>Program:</b>	1	Ratepayer Representation

		FY 2016	FY 2017	FY 2018	FY 2018
		Actual	Expd. Plan	Fund. Issue	Total
<b>Fund: 2175-A Residential Utility Consumer Office Revolving (Appropriated)</b>					
<b>Program Expenditures</b>					
COST CENTER/PROGRAM BUDGET UNIT					
1-1	Ratepayer Representation	1,062.1	1,186.4	0.0	1,186.4
1-2	SLI Professional Witnesses Appropriation	85.4	145.0	0.0	145.0
	Total	1,147.5	1,331.4	0.0	1,331.4
<b>Appropriated Funding</b>					
<b>Expenditure Categories</b>					
	FTE Positions	8.0	8.0	0.0	8.0
	Personal Services	634.6	757.7	0.0	757.7
	Employee Related Expenses	232.9	241.9	0.0	241.9
	Professional and Outside Services	85.4	147.4	0.0	147.4
	Travel In-State	6.3	8.6	0.0	8.6
	Travel Out of State	9.7	7.0	0.0	7.0
	Food (Library for Universities)	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	178.6	168.8	0.0	168.8
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		1,147.5	1,331.4	0.0	1,331.4
<b>Fund 2175-A Total:</b>		1,147.5	1,331.4	0.0	1,331.4
<b>Program 1 Total:</b>		1,147.5	1,331.4	0.0	1,331.4

## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	UOA	Residential Utility Consumer Office
<b>Program:</b>	1-1	Ratepayer Representation

Expenditure Categories	FY 2016 Actual	FY 2017 Expd. Plan	FY 2018 Fund. Issue	FY 2018 Total
0000 FTE	8.0	8.0	0.0	8.0
6000 Personal Services	634.6	757.7	0.0	757.7
6100 Employee Related Expenses	232.9	241.9	0.0	241.9
6200 Professional and Outside Services	0.0	2.4	0.0	2.4
6500 Travel In-State	6.3	8.6	0.0	8.6
6600 Travel Out of State	9.7	7.0	0.0	7.0
6700 Food (Library for Universities)	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	178.6	168.8	0.0	168.8
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	1,062.1	1,186.4	0.0	1,186.4
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
2175-A Residential Utility Consumer Office Revolving (Appr	1,062.1	1,186.4	0.0	1,186.4
	1,062.1	1,186.4	0.0	1,186.4
<b>Fund Source Total:</b>	1,062.1	1,186.4	0.0	1,186.4

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency: UOA Residential Utility Consumer Office		FY 2016	FY 2017	FY 2018	FY 2018
		Actual	Expd. Plan	Fund. Issue	Total
<b>Program: 1-1 Ratepayer Representation</b>					
<b>Fund: 2175-A Residential Utility Consumer Office Revolving Fund</b>					
<b>Appropriated</b>					
0000	FTE	8.0	8.0	0.0	8.0
6000	Personal Services	634.6	757.7	0.0	757.7
6100	Employee Related Expenses	232.9	241.9	0.0	241.9
6200	Professional and Outside Services	0.0	2.4	0.0	2.4
6500	Travel In-State	6.3	8.6	0.0	8.6
6600	Travel Out of State	9.7	7.0	0.0	7.0
6700	Food (Library for Universities)	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	178.6	168.8	0.0	168.8
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
<b>Appropriated Total:</b>		1,062.1	1,186.4	0.0	1,186.4
<b>Fund Total:</b>		1,062.1	1,186.4	0.0	1,186.4
<b>Program Total For Selected Funds:</b>		1,062.1	1,186.4	0.0	1,186.4

## Program Expenditure Schedule

<b>Agency:</b>	UOA Residential Utility Consumer Office
<b>Program:</b>	1-1 Ratepayer Representation

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>FTE Positions</b>		
FTE	8.0	8.0
<b>Expenditure Category Total</b>	<b>8.0</b>	<b>8.0</b>
<b>Fund Source</b>		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	8.0	8.0
<b>Fund Source Total</b>	<b>8.0</b>	<b>8.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Personal Services</b>		
Personal Services	634.6	757.7
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>634.6</b>	<b>757.7</b>
<b>Fund Source</b>		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	634.6	757.7
<b>Fund Source Total</b>	<b>634.6</b>	<b>757.7</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Employee Related Expenses</b>		
Employee Related Expenses	232.9	241.9
<b>Expenditure Category Total</b>	<b>232.9</b>	<b>241.9</b>
<b>Fund Source</b>		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	232.9	241.9
<b>Fund Source Total</b>	<b>232.9</b>	<b>241.9</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Professional &amp; Outside Services</b>		
External Prof/Outside Serv Budg And Appn	0.0	0.0
External Investment Services	0.0	0.0
Other External Financial Services	0.0	0.0
Attorney General Legal Services	0.0	0.0
External Legal Services	0.0	0.0
External Engineer/Architect Cost - Exp	0.0	0.0
External Engineer/Architect Cost- Cap	0.0	0.0
Other Design	0.0	0.0
Temporary Agency Services	0.0	0.0
Hospital Services	0.0	0.0
Other Medical Services	0.0	0.0
Institutional Care	0.0	0.0
Education And Training	0.0	0.0
Vendor Travel	0.0	0.0

## Program Expenditure Schedule

<b>Agency:</b>	UOA	Residential Utility Consumer Office
<b>Program:</b>	1-1	Ratepayer Representation

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Professional &amp; Outside Services</b>		
Professional & Outside Services Excluded from Cost Alloca	0.0	0.0
Vendor Travel - Non Reportable	0.0	0.0
External Telecom Consulting Services	0.0	0.0
Non - Confidential Specialist Fees	0.0	0.0
Confidential Specialist Fees	0.0	0.0
Outside Actuarial Costs	0.0	0.0
Other Professional And Outside Services	0.0	2.4
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>2.4</b>

Fund Source		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	2.4
<b>Fund Source Total</b>	<b>0.0</b>	<b>2.4</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Travel In-State</b>		
Travel In-State	6.3	8.6
<b>Expenditure Category Total</b>	<b>6.3</b>	<b>8.6</b>

Fund Source		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	6.3	8.6
<b>Fund Source Total</b>	<b>6.3</b>	<b>8.6</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Travel Out-of-State</b>		
Travel Out of State	9.7	7.0
<b>Expenditure Category Total</b>	<b>9.7</b>	<b>7.0</b>

Fund Source		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	9.7	7.0
<b>Fund Source Total</b>	<b>9.7</b>	<b>7.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Food (Library for Universities)</b>		
Food (Library for Universities)	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>

Fund Source		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>

## Program Expenditure Schedule

<b>Agency:</b>	UOA Residential Utility Consumer Office
<b>Program:</b>	1-1 Ratepayer Representation

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Aid to Organizations &amp; Individuals</b>		
Aid to Organizations and Individuals	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<b>Fund Source</b>		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Other Operating Expenditures</b>		
Other Operating Expenditures	0.0	0.0
Insurance & Related Charges	2.2	2.2
Information Technology Services	7.3	7.3
Utilities	0.0	0.0
Non-Building or Land Rent	0.0	0.0
Building Rent Charges to State Agencies	0.0	0.0
COP Building Rent Charges to State Agencies	120.9	108.7
Rental of Land & Buildings	0.0	0.0
Interest Payments	0.0	0.0
Internal Acct, Budgeting and Financial Svcs.	1.9	1.9
Payments for Internal Services	0.0	0.0
Repair & Maintenance	11.8	11.7
Software Support and Maintenance	0.0	0.0
Operating Supplies	2.1	2.1
Resale Supplies	0.0	0.0
Sales of Assets	0.0	0.0
Conference, Education & Training	3.8	3.9
Advertising	0.0	0.0
Printing & Photography	0.1	0.0
Postage & Delivery	1.2	1.2
Miscellaneous Operating	27.3	29.8
Depreciation Expense	0.0	0.0
<b>Expenditure Category Total</b>	<b>178.6</b>	<b>168.8</b>

Fund Source		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	178.6	168.8
<b>Fund Source Total</b>	<b>178.6</b>	<b>168.8</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Equipment</b>		
Vehicles - Capital Leases	0.0	0.0
Furniture - Capital Leases	0.0	0.0
EDP Equipment - Mainframe - Capital Leases	0.0	0.0
EDP Equipment - Midrange - Capital Leases	0.0	0.0
EDP Equipment - PCs/LAN - Capital Leases	0.0	0.0

## Program Expenditure Schedule

<b>Agency:</b>	UOA Residential Utility Consumer Office
<b>Program:</b>	1-1 Ratepayer Representation

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Equipment</b>		
Telecommunication Equipment - Capital Leases	0.0	0.0
Other Equipment - Capital Leases	0.0	0.0
Capital Equipment Purchases	0.0	0.0
Vehicles - Non-Capital	0.0	0.0
Furniture - Non-Capital	0.0	0.0
EDP Equipment - Mainframe - Non-Capital	0.0	0.0
Telecommunication Equipment - Non Capital	0.0	0.0
Other Equipment - Non-Capital	0.0	0.0
Purchased Or Licensed Software/Website	0.0	0.0
Internally Generated Software/Website	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<b>Fund Source</b>		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Capital Outlay</b>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<b>Fund Source</b>		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Debt Services</b>		
Debt Service	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<b>Fund Source</b>		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Cost Allocation</b>		
Cost Allocation	0.0	0.0

# Program Expenditure Schedule

<b>Agency:</b>	UOA Residential Utility Consumer Office
<b>Program:</b>	1-1 Ratepayer Representation

<b>Expenditure Category</b>	<b>FY 2016 Actual</b>	<b>FY 2017 Expd. Plan</b>
<b>Cost Allocation</b>		
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<b>Fund Source</b>		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>

<b>Expenditure Category</b>	<b>FY 2016 Actual</b>	<b>FY 2017 Expd. Plan</b>
<b>Transfers</b>		
Transfers	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<b>Fund Source</b>		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>

<b>Classification Listing</b>			
<b>Class Code</b>	<b>Title</b>	<b>Grade</b>	<b>Total FTE</b>
AUN09	PUB UTILS ANALYST 5	23	3.0
AUN07	SPCL PROJS ADVSR	23	1.0
AUN04	UO ADMV SERVICES OFFICER II	21	1.0
AUN05	UO ATTORNEY IV	03	1.0
AUN02	UO CHF UTILS ACCTG & RATES	24	1.0
AUN05	UO DIRECTOR	E3	1.0

<b>Employee Retirement Coverage</b>			
<b>Retirement System</b>	<b>FTE</b>	<b>Personal Services</b>	<b>Fund#</b>
State Retirement System	8.0	757.7	2175-A

<b>Combined Regular &amp; Elected Positions At/Above FICA Maximum of \$118,500</b>		
<b>Total FTE</b>	<b>Personal Services</b>	<b>FTE's not eligible for Health, Dental &amp; Life</b>
0.0	0.0	0.0

## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	UOA	Residential Utility Consumer Office
<b>Program:</b>	1-2	SLI Professional Witnesses Appropriation

Expenditure Categories	FY 2016 Actual	FY 2017 Expd. Plan	FY 2018 Fund. Issue	FY 2018 Total
0000 FTE	0.0	0.0	0.0	0.0
6000 Personal Services	0.0	0.0	0.0	0.0
6100 Employee Related Expenses	0.0	0.0	0.0	0.0
6200 Professional and Outside Services	85.4	145.0	0.0	145.0
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	0.0	0.0	0.0	0.0
6700 Food (Library for Universities)	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	0.0	0.0	0.0	0.0
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	85.4	145.0	0.0	145.0

  

Fund Source	FY 2016 Actual	FY 2017 Expd. Plan	FY 2018 Fund. Issue	FY 2018 Total
<b>Appropriated Funds</b>				
2175-A Residential Utility Consumer Office Revolving (Appr	85.4	145.0	0.0	145.0
<b>Fund Source Total:</b>	85.4	145.0	0.0	145.0

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b> UOA Residential Utility Consumer Office					
		FY 2016	FY 2017	FY 2018	FY 2018
		Actual	Expd. Plan	Fund. Issue	Total
<b>Program:</b> 1-2 SLI Professional Witnesses Appropriation					
<b>Fund:</b> 2175-A Residential Utility Consumer Office Revolving Fund					
<b>Appropriated</b>					
0000	FTE	0.0	0.0	0.0	0.0
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	85.4	145.0	0.0	145.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food (Library for Universities)	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
<b>Appropriated Total:</b>		85.4	145.0	0.0	145.0
<b>Fund Total:</b>		85.4	145.0	0.0	145.0
<b>Program Total For Selected Funds:</b>		85.4	145.0	0.0	145.0

## Program Expenditure Schedule

<b>Agency:</b>	UOA Residential Utility Consumer Office
<b>Program:</b>	1-2 SLI Professional Witnesses Appropriation

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>FTE Positions</b>		
FTE	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<b>Fund Source</b>		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Personal Services</b>		
Personal Services	0.0	0.0
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<b>Fund Source</b>		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Employee Related Expenses</b>		
Employee Related Expenses	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<b>Fund Source</b>		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Professional &amp; Outside Services</b>		
External Prof/Outside Serv Budg And Appn	0.0	0.0
External Investment Services	0.0	0.0
Other External Financial Services	0.0	0.0
Attorney General Legal Services	0.0	0.0
External Legal Services	85.4	145.0
External Engineer/Architect Cost - Exp	0.0	0.0
External Engineer/Architect Cost- Cap	0.0	0.0
Other Design	0.0	0.0
Temporary Agency Services	0.0	0.0
Hospital Services	0.0	0.0
Other Medical Services	0.0	0.0
Institutional Care	0.0	0.0
Education And Training	0.0	0.0
Vendor Travel	0.0	0.0

## Program Expenditure Schedule

<b>Agency:</b>	UOA Residential Utility Consumer Office
<b>Program:</b>	1-2 SLI Professional Witnesses Appropriation

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Professional &amp; Outside Services</b>		
Professional & Outside Services Excluded from Cost Alloca	0.0	0.0
Vendor Travel - Non Reportable	0.0	0.0
External Telecom Consulting Services	0.0	0.0
Non - Confidential Specialist Fees	0.0	0.0
Confidential Specialist Fees	0.0	0.0
Outside Actuarial Costs	0.0	0.0
Other Professional And Outside Services	0.0	0.0
<b>Expenditure Category Total</b>	<b>85.4</b>	<b>145.0</b>

Fund Source		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	85.4	145.0
<b>Fund Source Total</b>	<b>85.4</b>	<b>145.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Travel In-State</b>		
Travel In-State	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>

Fund Source		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Travel Out-of-State</b>		
Travel Out of State	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>

Fund Source		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Food (Library for Universities)</b>		
Food (Library for Universities)	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>

Fund Source		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>

## Program Expenditure Schedule

<b>Agency:</b>	UOA Residential Utility Consumer Office
<b>Program:</b>	1-2 SLI Professional Witnesses Appropriation

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Aid to Organizations &amp; Individuals</b>		
Aid to Organizations and Individuals	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<b>Fund Source</b>		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Other Operating Expenditures</b>		
Other Operating Expenditures	0.0	0.0
Insurance & Related Charges	0.0	0.0
Information Technology Services	0.0	0.0
Utilities	0.0	0.0
Non-Building or Land Rent	0.0	0.0
Building Rent Charges to State Agencies	0.0	0.0
COP Building Rent Charges to State Agencies	0.0	0.0
Rental of Land & Buildings	0.0	0.0
Interest Payments	0.0	0.0
Internal Acct, Budgeting and Financial Svcs.	0.0	0.0
Payments for Internal Services	0.0	0.0
Repair & Maintenance	0.0	0.0
Software Support and Maintenance	0.0	0.0
Operating Supplies	0.0	0.0
Resale Supplies	0.0	0.0
Sales of Assets	0.0	0.0
Conference, Education & Training	0.0	0.0
Advertising	0.0	0.0
Printing & Photography	0.0	0.0
Postage & Delivery	0.0	0.0
Miscellaneous Operating	0.0	0.0
Depreciation Expense	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<b>Fund Source</b>		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Equipment</b>		
Vehicles - Capital Leases	0.0	0.0
Furniture - Capital Leases	0.0	0.0
EDP Equipment - Mainframe - Capital Leases	0.0	0.0
EDP Equipment - Midrange - Capital Leases	0.0	0.0
EDP Equipment - PCs/LAN - Capital Leases	0.0	0.0

## Program Expenditure Schedule

<b>Agency:</b>	UOA Residential Utility Consumer Office
<b>Program:</b>	1-2 SLI Professional Witnesses Appropriation

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Equipment</b>		
Telecommunication Equipment - Capital Leases	0.0	0.0
Other Equipment - Capital Leases	0.0	0.0
Capital Equipment Purchases	0.0	0.0
Vehicles - Non-Capital	0.0	0.0
Furniture - Non-Capital	0.0	0.0
EDP Equipment - Mainframe - Non-Capital	0.0	0.0
Telecommunication Equipment - Non Capital	0.0	0.0
Other Equipment - Non-Capital	0.0	0.0
Purchased Or Licensed Software/Website	0.0	0.0
Internally Generated Software/Website	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<b>Fund Source</b>		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Capital Outlay</b>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<b>Fund Source</b>		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Debt Services</b>		
Debt Service	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<b>Fund Source</b>		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Cost Allocation</b>		
Cost Allocation	0.0	0.0

## Program Expenditure Schedule

<b>Agency:</b>	UOA Residential Utility Consumer Office
<b>Program:</b>	1-2 SLI Professional Witnesses Appropriation

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Cost Allocation</b>		
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<b>Fund Source</b>		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
<b>Transfers</b>		
Transfers	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<b>Fund Source</b>		
<b>Appropriated</b>		
2175-A Residential Utility Consumer Office Revolving (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>

## Administrative Costs

**Agency:** UOA Residential Utility Consumer Office

### Administrative Costs Summary

Common Administrative Area	FY 2018
Business and Finance	10.0
Human Resources	10.0
Director's Office	4.0
Other Central Administration	0.0
Information Technology	17.2
<b>Administrative Costs Total:</b>	<b>41.2</b>

### Administrative Cost / Total Expenditure Ratio

	Request	Admin %
<b>FY 2018</b>	1,331.4	3.1%

### Administrative Costs Detail

Common Administrative Area Administrative Activity	Admin Costs %	Program Costs %	Discussion
<b>Director's Office</b>			
Legislative Liaison	10.0	90.0	
Public Information	10.0	90.0	
<b>Human Resources</b>			
Employee Benefits and Employment	100.0	0.0	
<b>Information Technology</b>			
Internet Service provider, network maintenance	20.0	80.0	
<b>Business and Finance</b>			
General accounting and accounts payable	100.0	0.0	

# Arizona Residential Utility Consumer Office (RUCO)

## Justification for Professional and Outside Services

RUCO is an 8-member agency consisting of a Director, one attorney, three financial analysts, one Chief of Accounting and Rates, one Special Projects Advisor, and one Administrative Services Officer II.

Program Expenditure Schedule – Program: 1-1 Ratepayer Representation

Comptroller object 6200 – Professional and Outside Services

The Legislature appropriates to RUCO \$2,400 in professional and outside services in its operating expenditure plan. RUCO contracts with temp agencies for temporary personnel to provide assistance to the Chief Counsel and other RUCO staff as needed.

Program Expenditure Schedule – Program: 1-2 SLI Professional Witness Appropriation

Comptroller object 6222 – External Legal Services

The Legislature appropriates to RUCO a special line item appropriation of \$145,000 per year for professional witnesses. RUCO will contract with a professional witness to work on large, complicated utility rate cases. By contracting with professional witnesses on select cases, RUCO is able to have the expertise at hand when needed without having to retain certain specialized persons on full-time staff (e.g., an engineer).

# RESIDENTIAL UTILITY CONSUMER OFFICE ORGANIZATION CHART

